

7/23/01

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07/19/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Use application of:  
 Shihiko HIGUCHI  
 Serial No: Not assigned  
 Date: July 19, 2001  
 For: Semiconductor Device and Method for Manufacturing the Same

J1040 U.S. PRO  
09/910447

07/19/01

Box PATENT APPLICATION  
 Commissioner for Patents  
 Washington, D.C. 20231

Dear Sir:

## CORRESPONDENCE ADDRESS:

 Customer Number

000026021

26021  
PATENT TRADEMARK OFFICE

Transmitted herewith for filing is the patent application identified above.

5 sheet(s) of drawings ( formal  informal) is(are) enclosed.  
 23 page(s) of specification and 1 page(s) of abstract of the invention are enclosed.  
 An assignment of the invention to SEIKO EPSON CORPORATION  is enclosed  will follow.  
 An associate power of attorney  is enclosed  will follow.  
 Applicant claims small entity status. See 37 CFR 1.27.  
 Declaration and Power of Attorney  is enclosed  will follow.  
 A certified copy of Japanese Patent Application No. 2000-218890 filed July 19, 2000 from which priority is claimed under 35 U.S.C. § 119 will follow.  
 IDS enclosed ( with reference(s)).  
 Preliminary Amendment is enclosed.  
 Application data sheet is enclosed. See 37 CFR 1.76.  
 Return postcard is enclosed.

## CALCULATION OF FEES

ITEM		TOTAL NO. OF CLAIMS		NO. OF CLAIMS OVER BASE	LG/SM \$ ENTITY FEE		\$ AMOUNT	\$ FEE
A	TOTAL CLAIMS FEE	20	-20	0	LG=\$18 SM=\$9	\$18	0	
B	INDEPENDENT CLAIMS FEE*	2	-3	0	LG=\$80 SM=\$40	\$80	0	
C	SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)				LARGE ENTITY FEE = \$270 SMALL ENTITY FEE = \$135			0
D	MULTIPLE-DEPENDENT CLAIMS FEE				LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355			\$ 0
E	BASIC FEE				LARGE ENTITY FEE = \$710 SMALL ENTITY FEE = \$355			\$ 710
F	TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)							\$ 710
G	ASSIGNMENT RECORDING FEE							\$ 40

\*LIST INDEPENDENT CLAIMS 1 and 13

Please charge my Deposit Account No. 50-1314 the amount of \$ 0. A copy of this letter is enclosed.  
 A check in the amount of \$ 0 to cover the filing fee is enclosed.  
 A check in the amount of \$ 40.00 to cover Assignment Recordation fee is enclosed.  
 The Commissioner is hereby authorized to charge any deficiency for the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1314. **A copy of this sheet is enclosed.**  
 Any additional filing fees required under 37 C.F.R. 1.16  
 Any patent application processing fees under 37 C.F.R. 1.17

Respectfully submitted,  
 HOGAN & HARTSON L.L.P.

By: Michael Crapenhoft

Michael Crapenhoft  
 Registration No. 37,115  
 Attorney for Applicant(s)

Date: July 19, 2001

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PATENT  
Attorney Docket No: 81754.0064

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Toshihiko HIGUCHI  
Serial No: Not assigned  
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Same

Art Unit: Not assigned  
Examiner: Not assigned

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Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I hereby certify that

- two copies of a letter of transmittal
- patent application ( 23 page(s) of specification; 20 claim(s); 1 page(s) of abstract
- 5 sheet(s) of formal drawings
- return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service with sufficient postage under 37 C.F.R. § 1.10 on the date indicated above and are addressed to:

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Commissioner for Patents  
Washington, D.C. 20231.

Date: July 19, 2001

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Emily Cruz

Name of person mailing papers

Signature